



Sean Collier

Resume – Summary of Key Experiences

November 2020



Liability limited by a scheme approved under Professional Standards Legislation.

© 2020 JNW Strategic Consulting Pty Ltd. All rights reserved



CHARTERED ACCOUNTANTS
AUSTRALIA • NEW ZEALAND

Sean Collier

Experienced Governance & Risk Management Professional

- Graduate Member of the Australian Institute of Company Directors
- Fellow of the Governance Institute of Australia
- Masters of Business Administration
- Graduate Diploma Applied Risk Management and Corporate Governance
- Bachelor of Business (Banking & Finance)



Contact Details

Mobile: +61 (0) 423 989 221

seancollier@jnw.com.au

website: jnw.com.au

au.linkedin.com/in/sean-collier

Skills and expertise

Sean is a senior risk professional with 30+ years managing financial and non-financial risks. Having worked as a senior manager for large financial services institutions in Australia, Singapore and the Pacific, Sean has extensive experience in assurance, internal audit, risk strategy, corporate governance, transformational projects, regulatory compliance, internal controls and merger and acquisition transactions.

With a demonstrated capacity to design and implement risk management and governance solutions, he has the ability to communicate and translate business requirements, analysis data and risks and deliver solutions across multiple stakeholders including boards and senior executives.

Sean has worked across a diverse range of businesses and brings strong experience managing operational risks, business process improvements and ever-changing regulatory challenges. His experience also covers risks associated with an organisation's balance sheet both from a financial and non-financial risk impact.

Non - Executive Experience

Non-Executive Board Member, State Schools Relief (2020 - current)

As part of the Board, Sean provides guidance and advice on strategic plans, evaluating and monitoring financial affairs, and providing strategic direction on both financial and non-financial risks. Responsibility includes ensuring the organisation has appropriate corporate governance structures in place including promoting a culture of corporate and social responsibility.

APCA/ RBA Payments Industry Reform Working Group (2012 to 2013)

As a reform group member, provided input and guidance into further development of the payments system including standards, policies and operational requirements with regards to liquidity and the operational effectiveness.

Australian Financial Markets Cash Committee (2006-2013)

Provided advice and operational guidance on liquidity requirements for the financial services cash and payments system.

Executive Experience

Senior Consultant, JNW Strategic Consulting (2019 - current)

As part of JNW Strategic Consulting, Sean provides ongoing business process, risk and assurance services across a broad range of industries and clients, including Hawthorn Football Club (review of controls within third party venue management), Essential Services Commission (quality assurance review and compliance evaluation), RMIT (development of strategic market opportunities) and TR Y Australia (internal audit, assurance and assessments).

Senior Manager Controls, Generation Life (2020 - current)

Sean manages internal controls and the compliance framework including developing and executing risk management accountabilities across internal controls and risk frameworks. This includes continuous improvement initiatives across the organisation and providing reports to executive management, audit and risk committees.

Senior Risk Manager Regulatory Affairs, NAB (2019 - 2020)

Sean was contracted to provide risk management guidance on the development of a regulatory expectations model including developing model methodology, conducting regulatory analysis, engaging senior stakeholders, the process build and implementing control changes to the business as usual process.

Senior Manager Business and Risk Advisory, ANZ (2013 - 2018)

Sean was responsible for developing and implementing governance, procedural and compliance frameworks to meet policy and regulatory APRA standards. It included conducting assurance testing and internal audits across APRA prudential standards, developing reporting standards and recommendations on improving compliance and procedural effectiveness.

Other management experience within ANZ (2002-2013)

Worked in a number of roles covering managing balance sheets, liquidity and funding, foreign exchange risk management, trade finance and Treasurer of ANZ Singapore.

Strategy/Internal Audit/Business Process Improvement Engagements

- **Generation Life** - Work directly with executive management to develop and execute risk management accountabilities across internal controls and risk frameworks including, identifying control deficiencies and driving continuous improvement.
- **NAB** - Responsible for the development of a regulatory expectations model including developing model methodology, conducting regulatory analysis, engaging senior stakeholders, process build and implementing the control changes into a 'business as usual' process. Model resulted in ensuring both international and domestic regulatory trends and regulatory expectations were properly assessed and matched to the bank's compliance risk factors.
- **RMIT** - Developed a strategic Market Opportunities paper as part of the University's VET Growth Strategy. This included providing a critical review and evaluation of opportunities in the offshore student sector. Outcomes resulted in an International Market Penetration Strategy.
- **TRY Australia** - Conducted an internal audit and assurance review on the organisation's payroll and procurement processes and policy requirements.
- **Essential Services Commission** - Provided advice and quality assurance review on the VDO pricing model.

Risk Management Experience

- **ANZ Bank Group Treasury** - Developed and implemented risk, governance, procedural and compliance frameworks to meet policy and regulatory APRA standards. Managed assurance and operational risk reviews, re-engineering risk management policies, process improvements and reporting practices, to ensure improved oversight by both executive management and regulators. Developed and implemented strategies to manage the Australian balance sheet's cashflows, funding and liquidity risk.

Developed new annual compliance review frameworks to ensure APRA regulatory requirements and standards such as APS210 Liquidity, APS 110/116 Capital Adequacy, APS 120 Securitisation, APS 121 Covered Bonds and CPS 220 were being met.

Re-engineered and managed ongoing operational resilience requirements for Group Treasury's BCP including development of procedures, process manuals and offsite testing of liquidity management. This resulted in compliance with ANZ's internal liquidity policies, CPS 232 Business Continuity and RBA's RITS liquidity management Business Continuity arrangements.

Managed the strategy and development of a 'Retail Run' compliance plan to meet APRA APS210 liquidity crisis plan requirements.

Member of Loan Sponsorship Committee that reviewed and facilitated the allocation of liquidity and balance sheet capital to loan and credit facilities.

- **ANZ Bank Singapore** - As Treasurer, managed a multi-billion-dollar asset and liability portfolio base covering multiple currencies and products across Asian/Pacific jurisdictions. Balance sheet management included operational, financial and regulatory controls across the Singapore business unit.

Chaired the group's asset and liability committee to ensure both efficient balance sheet utilisation and all capital and liquidity regulatory requirements were met.

- **ANZ Bank Samoa** - Managed treasury, trade finance and balance sheet management. Role also included managing treasury risk across 2 other countries and driving customer focussed initiatives and outcomes.